ORIGINAL RESEARCH

# Burden of Respiratory Infection and Tuberculosis Among US States from 1990 to 2019

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Union Hospital, Tongji Medical College, Huazhong University of Science and Technology, 1277 Jiefang Avenue, Wuhan, 430022, People's Republic of China Tel/Fax +86-135-45340998 Email wangweijunct@sina.com **Purpose:** To estimate the incidence, death, disability-adjusted life years (DALYs) and attributable risk factors for respiratory infection and tuberculosis (RIT) in the US from 1990 to 2019.

**Methods:** Following the methodology framework and analytical strategies used in the Global Burden of Disease Study 2019, the incidence, death, DALYs and risk factors of RIT were examined by age, gender and states from 1990 to 2019 in the US. All estimates were calculated as counts, age-standardized rates per 100,000 people and percentage change, with 95% confidence intervals (CIs).

**Results:** In 2019, the age-standardized incidence, death and DALY rates per 100,000 people of RIT were 339,703 (95% CI 303,184 to 382,354), 13.6 (95% CI 12.2 to 14.4) and 384.9 (95% CI 330.6 to 458.6), respectively. Among RIT causes, upper respiratory infection accounted for the large majority of RIT age-standardized incidence rate, while lower respiratory infection constituted the highest proportion of RIT age-standardized death and DALY rates. The age-standardized incidence, death and DALY rates of RIT in 2019 and their temporal trends since 1990 varied widely across states and socio-demographic index. Among all attributable risk factors, smoking was the leading one for age-standardized RIT deaths in 2019, followed by low temperature and alcohol use (the attributable fractions were 17.7%, 15.3% and 6.9%, respectively).

**Conclusion:** Our results suggest that RIT remained a major cause of health burden in the US, with large disparities persisting between US states. Intervention efforts for RIT hotspots, high-risk populations and modifiable risk factors are necessary.

Keywords: mortality, disability-adjusted life years, trend, United States

#### Introduction

Respiratory infection and tuberculosis (RIT), including upper respiratory infection, lower respiratory infection, otitis media and tuberculosis, ranked 7th among causes of death in the US, and brought about 83,000 deaths in 2019.<sup>1</sup> Among these causes, lower respiratory infection is a major public health concern worldwide, and the leading cause of mortality among infectious diseases in the US, accounting for 78.8% of total infectious disease deaths in 2014.<sup>2</sup> In 2019, pneumonia combined with influenza ranked 9th in the leading causes of death, claiming 49,783 lives.<sup>3</sup> On the other hand, tuberculosis remained a persistent low-level epidemic for years, which is still difficult to be eliminated in the US.<sup>4</sup> Despite a decline in mortality from RIT due to improved preventive measures and more effective treatments, RIT remains a health challenge to society as a result of the existing huge health burden, antibiotics abuse and potential outbreak of unknown pathogen.<sup>2,4</sup>

Clinical Epidemiology 2021:13 503-514

© 2021 Zhong et al. This work is published and licensed by Dove Medical Press Limited. The full terms of this license are available at https://www.dovepress.com/terms work you hereby accept the Terms. Non-commercial uses of the work are permitted without any further permission from Dove Medical Press Limited, provided the work is properly attributed. For permission for commercial use of this work, please see paragraphs A2 and 5 of our Terms (https://www.dovepress.com/terms.php). The epidemiological pattern of infectious disease presented large disparities across regions owing to different environments, health policies, customs and sociodemographic indexes (SDI), etc.<sup>5–7</sup> Accordingly, distribution of RIT at sub-national level also varied across the country, and cartography of RIT will be beneficial to the management of risk factors and health policy-making of each state. To the best of our knowledge, no study has been carried out so far to comprehensively measure the disparities in the US. In this article, we thus aim to report the burden of RIT and discuss its modifiable risk factors in the US based on the most recent Global Burden of Disease Study 2019.

# Method

## Overview

The GBD study, conducted by the Institute of Health Metrics and Evaluation (IHME), provides annual updates on the burden of diseases, injuries and risk factors at the global, regional and national levels. In the most up-to-date iteration, GBD 2019 analyzed 369 diseases and injuries, and 87 risk factors systematically; the general methods used in GBD 2019 have been published previously,<sup>1,8,9</sup> and the code and the flowchart of tuberculosis and respiratory infection are available freely on the website: <u>http://ghdx.healthdata.org/gbd-2019/code</u> (Supplement Method). Here, we summarized the methods related to the estimation of RIT burden in the US. This study was approved by the University of Washington Institutional Review Board, and the informed consent was waived because no identifiable data were used.

# Data Sources

RIT was defined using the International Classification of Diseases version 9 (ICD-9) and ICD-10. Diseases coded as H65-H71.93 in ICD-10 and 381–383.9 in ICD-9 were identified as otitis media, while diseases coded as J00-J06.9, J36-J36.0 in ICD-10, and 460–465.9, 475–475.9 in ICD-9 were identified as upper respiratory infection. Lower respiratory infection, including bronchitis, bronchiolitis and pneumonia, was coded as A48.1, A70, B96.0-B97.6, J09-J22.9, J85.1-J91.0, P23-P23.9, U04-U04.9 in ICD-10, and 079.82, 466–470.0, 480–484, 484.1–490.9, 510–513.9, 770.0, V12.61 in ICD-9. Tuberculosis was coded as A10-A19.9, B90-B90.9, K67.3, K93.0, M49.0, N74.0-N74.1, P37.0, U84.3 in ICD-10, and 010–019.9, 137–137.9, 320.4, 730.4–730.6

in ICD-9. The data sources used to produce estimates for the burden of RIT can be extracted by GBD 2019 Data Input Sources Tool (<u>http://ghdx.healthdata.org/gbd-2019/</u> <u>data-input-sources</u>). All estimated results about the burden of RIT were publicly available via an online query tool on the website of IHME (<u>http://ghdx.healthdata.org/gbdresults-tool</u>).

# Estimation of Burden for RIT in the US

Considering the relatively short course of respiratory infectious diseases, incidence outstrips prevalence in depicting the epidemiological trends of RIT. The incidence of RIT was defined as the new or relapse cases diagnosed within a year, and the incidence stratified by state, year, gender and age group was estimated by DisMod-MR 2.1 model, a Bayesian meta-regression tool developed for the GBD study.<sup>8</sup>

To estimate the mortality of RIT, Cause of Death Ensemble Model (CODEm) was used. CODEm ensembles different models incorporated with various predictive covariates to analyze the cause of death, and several covariates were chosen to perform best with CODEm (<u>Supplement Table S1</u>).<sup>1</sup> Moreover, disability-adjusted life years (DALYs), the sum of years of life lost (YLLs) and years lived with disability (YLDs), were estimated for the overall health loss of RIT. YLLs were calculated by multiplying RIT-related deaths in each age group by the standard life expectancy at that age, and YLDs represented the health loss associated with the severity level of RIT, from 0 (full health) to 1 (death).<sup>8</sup>

# Socio-Demographic Index

SDI was used to measure the relationship between development of each state and RIT burden. SDI is a metric consisting of 3 components: total fertility rate under age 25 years, lag-distributed income per capita and average educational attainment in populations aged 15 years or older. SDI value ranges from 0 (least developed) to 1 (most developed).

# Attributable Risk Factors for RIT

The GBD study had provided the comparative risk assessment framework to quantify the burden of diseases and injuries attributable to risk factors.<sup>9</sup> In brief, we selected risky factors for which there is sufficient evidence of causation with RIT, accessible exposure data and potential for modification. In GBD 2019, 15 risk factors were identified to be related to RIT, including smoking, secondhand

smoke, alcohol use, household air pollution from solid fuels, ambient particulate matter pollution, high temperature, low temperature, child wasting, child stunting, child underweight, low birth weight, non-exclusive breastfeeding, short gestation, no access to handwashing facility, high fasting plasma glucose. The deaths from RIT attributable to risk factors were calculated by multiplying the number of deaths for the outcome by the population attributable fraction (PAF) for the risk-outcome pair, as described before.<sup>9</sup>

## **Uncertainty Analysis**

Uncertainty was propagated through all estimation by sampling 1000 draws at each calculation step, and 95% confidence intervals (CIs) were defined as the 2.5th and 97.5th percentiles of the 1000 draws.

### Result

# Total RIT Burden and Its Changes in the US

As shown in Table 1, in 2019, the total age-standardized incidence rate of RIT in the US was 339,703 (95% CI 303,184 to 382,354) per 100,000 people. Among RIT causes, upper respiratory infection accounted for the large majority of age-standardized incidence rate [331,560 (95% CI 294,985 to 373,553) per 100,000 people], while tuberculosis had the minimum age-standardized incidence rate [2.1 (95% CI 1.8 to 2.5) per 100,000 people]. Since 1990, this has remained almost unchanged in total age-standardized incidence rate of RIT, but a significant decrease was observed for tuberculosis (-72.1%).

The total age-standardized death rate of RIT in 2019 was 13.6 (95% CI 12.2 to 14.4) per 100,000 people, and lower respiratory infection constituted the highest proportion of RIT age-standardized death rate, which was 13.4 (95% CI 12.0 to 14.2) per 100,000 people, followed by tuberculosis [978 (95% CI 901 to 1036) per 100,000 people]. From 1990 to 2019, the RIT age-standardized death rate decreased notably (-40.2%). Compared to about 70% decrease in age-standardized death rate for upper respiratory infection, tuberculosis and otitis media, the decrease tendency was not that remarkable for lower respiratory infection (-38.9%).

The age-standardized DALY rate in 2019 and its changes from 1990 to 2019 for RIT were 384.9 (95% CI 330.6 to 458.6) per 100,000 people and -32.5%,

respectively. Also, among RIT causes, lower respiratory infection had the highest age-standardized DALY rate [247.6 (95% CI 233.8 to 256.1) per 100,000 people] in 2019, decreased by -40.0% during 1990–2019. Upper respiratory infection, tuberculosis and otitis media contributed 114.5 (95% CI 69.4 to 177.0), 5.5 (95% CI 5.0 to 6.0) and 17.4 (95% CI 10.0 to 28.5) per 100,000 people, decreased by -1.9%, -74.6% and -12.3%, respectively.

# RIT Burden by Gender in the US

Gender discrepancy existed in the burden of RIT (Table 1). The total RIT age-standardized incidence rate in 2019 was 329,277 (95% CI 293,405 to 369,916) per 100,000 people for males, and a bit higher for females [350,450 (95% CI 312,739 to 394,590)], but it showed a converse result in tuberculosis, which was 2.7 (95% CI 2.3 to 3.1) per 100,000 people for males and 1.6 (95% CI 1.4 to 1.9) for females. And our results showed that there was a slight decrease in RIT age-standardized incidence change both for males and females (-0.5% and -0.2%, respectively). Despite a higher RIT age-standardized incidence rate in females, RIT age-standardized death rate was lower in females [11.8 (95% CI 10.3 to 12.6) per 100,000 people] than in males [16.1 (95% CI 14.7 to 17.0) per 100,000 people], and the same pattern was found for each individual RIT cause, especially tuberculosis (males 0.249 vs females 0.125 per 100,000 people).

Concerning changes in age-standardized death rate, the decrease was more notable in males than females (-47.7% vs -34.2%). The pattern of age-standardized DALY rate by gender for RIT was analogous to the death rate, which was 424.2 (95% CI 370.5 to 499.2) per 100,000 people for males and 352.9 (95% CI 298.3 to 429.5) for females, and its decrease was higher in males (-39.7%) than in females (-25.6%).

# RIT Burden by Age Group

The incidence rate of RIT varied widely by age group in 2019 (Figure 1A). As for upper respiratory infection, the largest proportion of RIT incidence, the incidence rate peaked in the age groups 0–5 years, and decreased with increasing age. However, the incidence of lower respiratory infection showed a U-shaped distribution so that the lowest age-standardized incidence rate turned up in age groups 15–29 years, then rose with age increasing. Otitis media mostly occurred in the age groups under 15, and diminished in other age groups. Tuberculosis presented

		-		-			-		
	Incidence			Deaths			DALYs		
	Estimated Number in 2019	Age-Standardized Rate in 2019 (per 100,000 People)*	Percentage Change in Age-Standardized Rates, 1990–2019	Estimated Number in 2019	Age-Standardized Rate in 2019 (per 100,000 People)	Percentage Change in Age-Standardized Rates, 1990–2019	Estimated Number in 2019	Age-Standardized Rate in 2019 (per 100,000 People)	Percentage Change in Age-Standardized Rates, 1990–2019
Respirat	Respiratory Infections and Tuberculosis	Tuberculosis							
Male	494,945,101 (448,777,432 to 551,465,553)	329,277 (293,405 to 369,916)	-0.5% (-1.8 to 0.8)	39,675 (36,144 to 41,879)	16.1 (14.7 to 17.0)	-47.7% (-49.4 to -45.8)	845, 153 (761,313 to 956,730)	424.2 (370.5 to 499.2)	-39.7% (-43.1 to -35.7)
Female	533,798,694 (485,281,140 to 594,615,352)	350,450 (312,739 to 394,590)	-0.2% (-1.5 to 1.0)	43,342 (36,775 to 47,075)	.8 (10.3 to  2.6)	-34.2% (-36.5 to -31.3)	802, 155 (701,099 to 920,658)	352.9 (298.3 to 429.5)	-25.6% (-29.3 to -22.0)
Both	1,028,743,795 (933,829,695 to 1,143,729,579)	339,703 (303,184 to 382,354)	-0.3% (-1.3 to 0.6)	83,016 (73,276 to 88,516)	13.6 (12.2 to 14.4)	-40.2% (-41.9 to -38.2)	1,647,308 (1,465,795 to 1,874,359)	384.9 (330.6 to 458.6)	-32.5% (-35.9 to -28.7)
Tuberculosis	losis								
Male	5035 (4344 to 5854)	2.7 (2.3 to 3.1)	-71.8% (-73.8 to -69.8)	601 (553 to 633)	0.249 (0.229 to 0.263)	-76.5% (-77.8 to -75.2)	5,65  ( 4,459 to  6,829)	7.1 (6.6 to 7.7)	-76.2% (-77.6 to -74.8)
Female	3073 (2608 to 3627)	1.6 (1.4 to 1.9)	-73.4% (-75.2 to -71.5)	377 (335 to 411)	0.125 (0.113 to 0.135)	-73.7% (-76.4 to -71.6)	9381 (8473 to 10,320)	4.0 (3.6 to 4.5)	-72.4% (-75.2 to -70.0)
Both	8108 (6988 to 9474)	2.1 (1.8 to 2.5)	-72.1% (-73.9 to -70.3)	978 (901 to 1036)	0.181 (0.168 to 0.191)	-74.9% (-76.4 to -73.3)	25,031 (23,162 to 27,041)	5.5 (5.0 to 6.0)	-74.6% (-76.2 to -73.0)
Lower n	Lower respiratory infections	su							
Male	6,209,350 (5,815,050 to 6,633,286)	3655 (3367 to 3958)	-17.5% (-20.4 to -14.2)	39,008 (35,524 to 41,183)	15.9 (14.5 to 16.7)	-46.6% (-48.4 to -44.7)	635,850 (604,039 to 660,414)	286.6 (273.2 to 296.8)	-46.7% (-48.3 to -45.0)

Female	7,411,648 (6,925,434 to 7,892,692)	4028 (3723 to 4352)	-6.1% (-8.7 to -3.1)	42,913 (36,390 to 46,621)	11.6 (10.2 to 12.4)	-33.0% (-35.4 to -30.0)	592,844 (537,497 to 625,570)	215.4 (200.9 to 224.2)	-33.2% (-35.4 to -30.8)
Both	3,620,998 ( 2,780,019 to  4,515,465)	3838 (3549 to 4147)	-11.7% (-14.2 to -8.7)	81,921 (72,236 to 87,404)	3.4 (12.0 to 14.2)	-38.9% (-40.7 to -36.9)	1,228,694 (1,142,113 to 1,282,287)	247.6 (233.8 to 256.1)	-40.0% (-41.6 to -38.3)
Upper r	Upper respiratory infections	su							
Male	483,926,726 (437,192,058 to 539,963,038)	321,356 (286,020 to 361,808)	-0.1% (-1.4 to 1.2)	51 (48 to 55)	0.030 (0.028 to 0.033)	-59.2% (-62.4 to -54.0)	166,761 (102,336 to 256,997)	111.9 (67.9 to 172.5)	-2.3% (-4.2 to -0.6)
Female	521,378,523 (472,394,822 to 582,252,858)	342,087 (304,025 to 386,165)	0.0% (-1.2 to 1.2)	38 (34 to 41)	0.018 (0.017 to 0.019)	-64.3% (-67.4 to -60.9)	176,682 (107,420 to 271,133)	117.3 (71.2 to 182.3)	-1.6% (-3.4 to -0.2)
Both	1,005,305,250 (910,633,203 to 1,118,926,978)	31,560 (294,985 to 373,553)	0.0% (-0.9 to 0.8)	89 (83 to 94)	0.024 (0.023 to 0.026)	-61.3% (-64.0 to -58.3)	343,443 (209,755 to 526,980)	114.5 (69.4 to 177.0)	-1.9% (-3.4 to -0.8)
Otitis media	edia								
Male	4,803,989 (3,797,422 to 6,071,414)	4264 (3271 to 5516)	-12.1% (-28.4 to 14.0)	15 (13 to 16)	0.008 (0.007 to 0.008)	-70.9% (-73.8 to -67.2)	26,891 (15,724 to 44,130)	18.6 (10.7 to 30.5)	-12.2% (-17.6 to -7.2)
Female	5,005,450 (4,118,488 to 6,134,667)	4334 (3421 to 5469)	-12.1% (-28.0 to 11.8)	4 ( 2 to  5)	0.006 (0.005 to 0.006)	-70.4% (-72.9 to -67.9)	23,248 (13,462 to 37,534)	16.2 (9.3 to 26.6)	-12.6% (-18.0 to -7.3)
Both	9,809,439 (7,915,459 to 12,205,509)	4302 (3347 to 5493)	-12.1% (-28.5 to 12.7)	29 (26 to 31)	0.007 (0.006 to 0.007)	-70.7% (-73.2 to -67.7)	50,140 (29,242 to 81,361)	17.4 (10.0 to 28.5)	-12.3% (-17.0 to -8.0)
Notes: Data in pare higher than 100,000.	tta in parentheses al 100,000.	<b>Notes:</b> Data in parentheses are 95% confidence intervals; *Since each person higher than 100,000.	*Since each person could ha	ive more than one	incident event of respirat	ory infection annually, the ag	e-standardized inci	dence rate of RIT in 2019	could have more than one incident event of respiratory infection annually, the age-standardized incidence rate of RIT in 2019 (per 100,000 people) can be

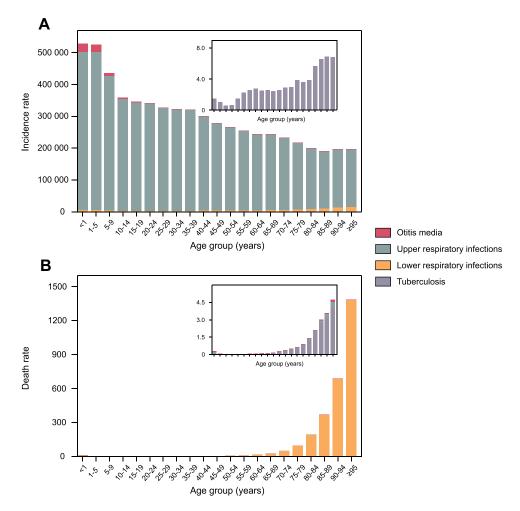


Figure I Age-standardized incidence (A) and death rate (B) of RIT by cause and age group, 2019. Abbreviation: RIT, respiratory infection and tuberculosis.

a very low age-standardized incidence rate in all age groups, and an upward trend along with ages.

On the mortality from RIT in 2019 (Figure 1B), it was indicated that the age-standardized death rate for RIT was bimodal, with the highest value among patients aged >95 years, and a lower one in children aged <1 year. The distribution of tuberculosis, upper respiratory infection and otitis media was also very similar.

#### RIT Burden by Regions in the US

From the cartography of RIT in the US, it was shown that RIT burden varied across the US states in 2019 (Figure 2 and <u>Supplement Table S2</u>). As shown in Figure 2A, the age-standardized incidence was highest in the East South Central [eg, Kentucky, 342,806 (95% CI 306,557 to 388,756) per 100,000 people; Tennessee, 341,642 (95% CI 303,873 to 385,227); and Alabama, 341,544 (95% CI 304,133 to 384,801), etc.], and these states also ranked

ahead in lower respiratory infection and otitis media (<u>Supplement Table S2</u>). The age-standardized incidence was lower in the Pacific area [eg, Alaska 335,970 (95% CI 298,717 to 380,034)] and Mountain area [eg, Montana, 336,085 (95% CI 300,216 to 379,561)]. Concerning the change of RIT incidence (Figure 2C), this presented a downward tendency in most states, but the situation even deteriorated in some states of East South Central, for instance, Tennessee (0.2%), Mississippi (0.2%) and Alabama (0.1%).

As indicated in Figure 2B and <u>Supplement Table S2</u>, the mortality burden of RIT was also heavy in East South Central: for instance, the highest RIT age-standardized death rate was recorded in Mississippi [20.8 (95% CI 17.7 to 24.3) per 100,000 people], followed by Tennessee [19.5 (95% CI 16.3 to 22.9)] and Kentucky [19.1 (95% CI 16.0 to 22.2)]. Meanwhile, in Florida, Oregon and Washington, the RIT age-standardized death

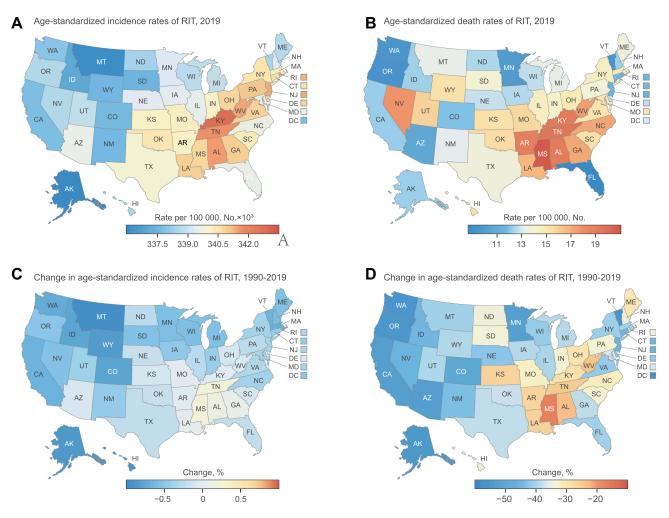


Figure 2 RIT burden for each state in the US. (A) Age-standardized incidence rate of RIT in 2019. (B) Age-standardized death rate of RIT in 2019. (C) Change in agestandardized incidence rates of RIT, 1990–2019. (D) Change in age-standardized death rates of RIT, 1990–2019. Abbreviation: RIT, respiratory infection and tuberculosis.

rate was relatively low, at 8.7 (95% CI 7.3 to 10.2), 9.3 (95% CI 7.7 to 10.9) and 9.5 (95% CI 7.8 to 11.2) per 100,000 people, respectively. Generally, there was an obvious drop in age-standardized death rate of RIT in the US, but its decrease rate varied greatly across the country, from -17.1% in Mississippi, to -56.7% in District of Columbia (Figure 2D).

Collectively, the age-standardized death rate of RIT was in inverse proportion to SDI level in 2019 (Figure 3A and B), with a higher age-standardized death rate in states of lower SDI level. However, there were some other states that had much higher age-standardized death rates than expected based on SDI, such as Tennessee, Maryland, Nevada. Similarly, states with lower SDI level tended to have minor improvement in age-standardized death rate change, suggesting that prior interventions should be implemented in these states.

### **Risk Factors Attributable to RIT Mortality**

In the US, age-standardized RIT deaths in 2019 were primarily attributable to smoking, followed by low temperature and alcohol use, and the attributable fractions were 17.7%, 15.3% and 6.9%, respectively (Supplement Table S3). However, the gender pattern of risk factors was quite different (Figure 4 and Supplement Table S3). Smoking and alcohol use accounted for 19.7% and 8.9% of RIT deaths in males, but much lower in female (15.3% and 4.8%, respectively). Also, death attributable to secondhand smoke in males was higher than in females (5.2% vs 4.4%).

# Discussion

In the present study, we thoroughly discussed the burden of RIT and its attributable risk factors in the US through evaluation by age, gender and state level. Our results indicated that

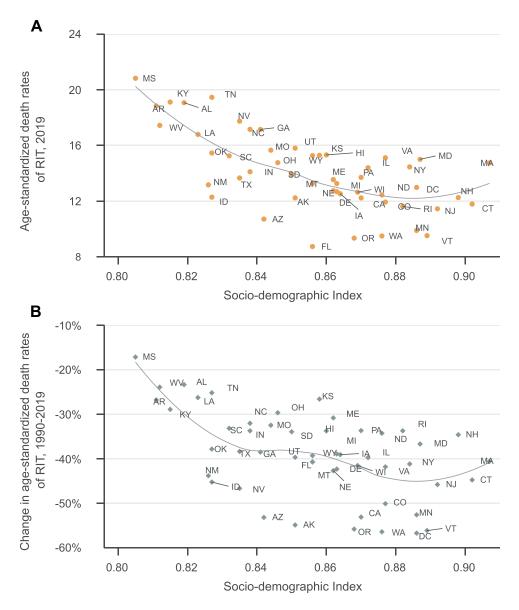


Figure 3 Age-standardized death rate of RIT across US states in 2019 (A) and its percentage change from 1990 to 2019 (B) by socio-demographic index. Abbreviation: RIT, Respiratory infection and tuberculosis.

although the age-standardized incidence of RIT remained almost unchanged from 1990 to 2019, there was a substantial improvement in age-standardized death (-40.2%) and DALY (-32.5%) rate in the US. Meanwhile, our work revealed that RIT burden varied widely among age groups, genders and states. This assessment could provide detailed information and evidence for the US health policy makers to focus on critical issues, reduce modifiable risk factors and improve health care quality of RIT.

Upper respiratory infection accounted for the majority proportion of RIT age-standardized incidence, and, due to common susceptibility and easy transmission of numerous pathogens like rhinovirus, coronavirus, etc., it is difficult to prevent upper respiratory infection,<sup>10</sup> which resulted in unchanged RIT age-standardized incidence, whereas a notable decrease was observed in another three RIT causes, especially tuberculosis. According to CDC, the incidence of tuberculosis dropped from 10.3 to 2.7 per 100,000, and the decline was owing to the establishment of the Advisory Committee for the Elimination of Tuberculosis and the subsequent strategies such as widespread screening, adequate treatment and implementation of new technologies for diagnosis, treatment and prevention.<sup>4,11</sup> But since 80% of existing tuberculosis cases were reactivation of latent tuberculosis acquired in the past and most from immigrants, tuberculosis still

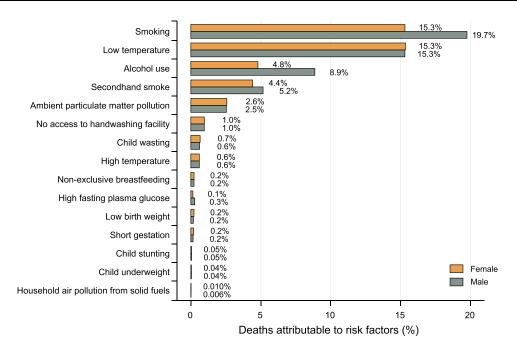


Figure 4 Percentage contributions of major risk factors to RIT age-standardized deaths by gender, 2019. Abbreviation: RIT, respiratory infection and tuberculosis.

remained a persistent low-level epidemic.<sup>4</sup> In addition, compared to upper respiratory infection and otitis media, tuberculosis also accounted for a relatively high age-standardized death rate of RIT.

Lower respiratory infection ranked the first in both mortality and morbidity of RIT causes. Despite improvements in prognosis with advances of health care and the increased access to antibiotics, lower respiratory infection still brought out heavy health burden in the US. In 2016, lower respiratory infection, as the 7th leading cause of death and 18th leading cause of DALYs, claimed about 95,992 lives, and cost \$32.2 billion.<sup>5,12,13</sup> This severe challenge was partly due to the spread of antibiotic resistance,<sup>5</sup> the bottleneck of novel antimicrobials in recent years,<sup>14</sup> clusters of vaccine refusal<sup>15</sup> and the increasing number of immunocompromised and aging groups.<sup>2</sup> It is crucial to improve the quality of health care and reduce related risk factors throughout the US to improve health outcomes of lower respiratory infection.

Our results indicated that most age-standardized death from RIT occurred in those aged >70 years, and elderly people were much more susceptible and vulnerable to respiratory infection. Many of the global initiatives about lower respiratory infection were focused on children younger than 5 years, but globally the mortality rates have remained consistently high in the elderly since 1990; meanwhile, aging of populations prompts urgency to protect the elderly.<sup>5</sup> In addition, we found that the death rate from tuberculosis in the young and middle-age d should not be ignored because of a high incidence rate in these populations.

A distinct gender difference was found in that males had a lower RIT age-standardized incidence rate (except tuberculosis), but severer outcomes as a higher agestandardized death and DALY rate, which accorded with many previous studies concerning respiratory infection disease.<sup>16</sup> For instance, the ratio of male to female was 1:1.27 in a US nationwide population-based acute respiratory infection study involving 9,763,710 outpatients,<sup>17</sup> but the male-to-female ratio of tuberculosis was 1.88:1 in 1999.<sup>18</sup> Moreover, the hazard ratio of mortality for males was 1.33 in active tuberculosis<sup>19</sup> and 1.59 in COVID-19.<sup>20</sup> The reasons for this disparity might be due to different hormone influence or inflammatory response; other important factors involved were environmental, behavioral and metabolic risk factors, which predominated in males.<sup>5,9,16</sup> Smoking had been proven to be associated with increased risk and severity of acute respiratory infection and tuberculosis.<sup>21-23</sup> It was estimated that 26.2% of males and 15.7% of females consumed tobacco products in 2019,<sup>24</sup> and, in 2013, 27.1% of male and 23.6% of female non-smokers were exposed to secondhand smoke by the evidence of serum cotinine levels 0.05-10 ng/mL.<sup>25</sup> Similarly, alcohol use including excessive and binge drinking was much heavier in males than in females, leading to a worse respiratory infection and tuberculosis outcome in males.<sup>26,27</sup> There were many other confounding factors contributing different weight in the development of RIT. For instance, females have a smaller respiratory tract, and they may seek health care more frequently for mild diseases, such as upper respiratory infection, which might explain the higher prevalence of respiratory infections in females.<sup>16</sup>

Through analysis by subnation, some states in the East South Central area, like Alabama, Mississippi, Tennessee, Kentucky, were identified as the hotspots of RIT and had not only the highest age-standardized incidence and death rates, but also minimal improvement in RIT burden. Persistent hotspots suggested the need for greater resources and adaptive policy interventions in these states. The reasons behind RIT burden disparities within the US were complex, for instance, environmental, demographic, behavioral, socio-economic factors.<sup>7</sup> Among these factors, the socio-economic factor was most substantial, and it was reported that the mortality rate of lower respiratory infection/tuberculosis was in inverse proportion to the SDI level of each region.<sup>5,7,28</sup> Our results also spotlighted the role of SDI in RIT burden in the US. For instance, people living below the poverty level in the Louisville area were more likely to have had a respiratory infection (RR=1.65), and COVID-19 patients from low-income areas had a higher in-hospital death rate (HR=1.19), because of inadequate accessibility and affordability of health service, and poor housing conditions.<sup>29,30</sup> In addition, according to CDC data about behavioral factors involved, differences in smoking and alcohol use in each state might be also associated with the RIT burden disparities.<sup>24,26</sup>

There were several limitations in our study. Firstly, although environmental, behavioral, metabolic factors were included into the RIT burden analysis, some important factors affecting RIT burden – like ethnicity and demographic, urban and rural, cultural and immigration background – could not be assessed through the GBD study. Secondly, since clinical characteristics and exposure of risk factors were not included in the GBD database, our results failed to reveal the distribution of RIT clinical characteristics and the predisposed factors in development of RIT. Thirdly, seasonal variations of upper/lower respiratory infection also could not be revealed by the annual reports of GBD. Fourthly, respiratory infection is very common in chronic diseases like

COPD, heart failure and diabetes, and extra-pulmonary tuberculosis and pulmonary tuberculosis often coexisted in one patient; therefore, this may affect the precise quantitative assessment of RIT as a single contributor to health loss and death.

## Conclusion

In summary, our study revealed that RIT remained a major cause of health burden in the US, but its burden had seen a substantial decline across the country. We found variations of RIT burden across states, ages and genders, and we identified smoking as the most important risk affecting RIT, suggesting adaptive health policy to be implemented toward high-risk populations, RIT hotspots and related risk factors.

# Abbreviations

RIT, respiratory infection and tuberculosis; DALYs, disability-adjusted life years; CI, confidence intervals; SDI, socio-demographic index.

# **Data Sharing Statement**

GBD Data are available on the website (<u>http://ghdx.health</u> <u>data.org/gbd-2019/data-input-sources</u>). All the data and the methodology of GBD are publicly available.

# Ethics Approval and Informed Consent

This study was approved by the University of Washington Institutional Review Board, and the informed consent was waived because no identifiable data were used.

# **Acknowledgments**

We thank all members of the Institute for Health Metrics and Evaluation (IHME), University of Washington, and all collaborators involved in GBD 2019 study.

# Funding

The GBD (Global Burden of Disease) 2017 study was funded by the Bill and Melinda Gates Foundation. The present study was funded by the National Natural Science Foundation of China (No. 81974090).

# Disclosure

The authors report no conflicts of interest in this work.

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